

Attorney Docket No.: COO-1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

William Elkins

Serial No.: 09/127,256

Filing Date: July 31, 1998

Title: COMPLIANT HEAT EXCHANGE

PANEL

Examiner: L. Leo

Group Art Unit: 3753

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Mail Stop Amendment Commissioner for Patents Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Substitute Form 1449A. The Examiner is requested to make these document(s) of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance. A fee is required. Authorization to charge the undersigned's deposit account \$180.00 is attached.

01/24/2005 NNGUYEN1 00000084 501947 09127256

03 FC:1806 180.00 DA The undersigned notes that U.S. Patent No. 6,695,872, which is cited in the attached Form 1449A, and the above-identified application both were assigned to CoolSystems, Inc.

Applicant would appreciate the Examiner initialing and returning the Substitute Form 1449A, indicating that the information has been considered and made of record herein.

This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. COO-1. However, the Commissioner is not authorized to charge the issue fee to the Deposit Account.

Respectfully submitted,

Date: January /4, 2005

Harry Macey

Registration No. 32,818

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PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of

Complete if Known			
Application Number	09/127,256		
Filing Date	July 31, 1998		
First Named Inventor	William Elkins		
Group Art Unit	3753		
Examiner Name	L. Leo		
Attorney Docket Number	COO-1		

				U.S. PATENT DOCUM	MENTS	
Examiner Initials'	Cite No.1	U.S. Patent Docum Number Kind (if known	Code ²	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
	1.	3,261,042		Baker	07/19/1966	
	2.	3,320,682		Sliman	05/23/1967	
	3.	3,738,367		Hardy	06/12/1973	
	4.	4,147,921		Walter et al.	04/03/1979	
	5.	4,738,119		Zafred	04/19/1988	
	6.	5,002,270		Shine	03/26/1991	
	7.	5,163,425		Nambu et al.	11/17/1992	
	8.	5,353,605		Naaman	10/11/1994	
	9.	5,564,124		Elsherif et al.	10/15/1996	
	10.	5,792,216		Kappel	08/11/1998	
	11.	5,913,885		Klatz, et al.	06/22/1999	
	12.	5,967,225		Jenkins	10/19/1999	
	13.	5,970,519		Weber	10/26/1999	
-	14.	6,695,872		Elkins	02/24/2004	

	FOREIGN PATENT DOCUMENTS							
Examiner Cite No.1	Cite	Fore	ign Patent D	ocuments	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
								<u> </u>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.